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TRANSMITTAL FORM

Application Number	09/465,336
Filing Date	December 17, 1999
First Named Inventor	Vincent Ching Ping Li
Title	Method for Charting Financial Market Activities
Group Art Unit	3627
Examiner Name	Michael A. Cuff
Attorney Docket Number	HKPC/196/US

ENCLOSURES

- ☒ Response to Office Action ☐ Preliminary Amendment
- ☐ Information Disclosure Statement ☒ Postcard reflecting enclosures
- ☐ Other: 01/04/2005 HDEMESS1 00000024 09465336
01 FC:2251 60.00 DP

☒ It is hereby petitioned that any required extension of time be granted for filing the amendment. An extension of 1 month(s) having a fee of \$ 60.00 appears required.

☒ A check in the amount of \$ 60.00 is attached. Please credit any overpayment to Deposit Account 16-2563 of Alix, Yale & Ristas, LLP.

The Commissioner is hereby requested and authorized to charge Deposit Account 16-2563 of Alix, Yale & Ristas, LLP for any fee, not enclosed herewith, due for any reason in connection with the amendment or this or any other document accompanying the amendment, including (a) any filing fees under 37 CFR 1.16 for the presentation of extra claims and (b) any patent application processing fees under 37 CFR 1.17. *A duplicate copy of this sheet is attached.*

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name Guy D. Yale Reg. No. 29,125

Signature [Signature]

Date December 29, 2004 Attorney's Docket No. HKPC/196/US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as first class mail in an envelope addressed to "Mail Stop Non-Fee Amendment, Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450."

Typed or Printed Name Guy D. Yale Reg. No. 29,125

Signature [Signature] Date: December 29, 2004



Examining Group 3627
ATTORNEY DOCKET NO. HKPC/196/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vincent Ching Ping Li and Raymond Chong
Application Number: 09/465,336 Group/Art Unit 3627
Filed: 12/17/1999 Examiner: Michael A. Cuff
Title: Method for Charting Financial Market Activities

Mail Stop Non-Fee Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

RESPONSE TO OFFICE ACTION

In response to the Office Action dated September 22, 2004, please amend the above-identified Application as follows:

Amendments to the claims are reflected in the listing of the claims beginning on page 2 of this paper;

Remarks begin on Page 10 of this paper.